Role of Scrutiny at the County Council

ETE Select Committee Informal Briefing
17 June 2021



Background to Scrutiny

- Formal scrutiny introduced as part of the move to Executive Arrangements following the Local Government Act 2000
- Authorities operating Executive
 Arrangements must have at least one
 Scrutiny Committee (HCC currently has 5)



Role and purpose of Scrutiny

- To hold the Executive and the Council to account
- To make recommendations to the Executive or to the County Council arising from the scrutiny process
- To conduct research and analysis of policy issues and possible options
- To assist the Executive and the County Council in the development of the Budget and Policy Framework



Terms of Reference ETE Select Committee

- Key Executive Members Executive Lead Member for Economy,
 Transport and Environment, Executive Member for Highways Operations and Executive Member for Climate Change and Sustainability.
- Key Department Economy, Transport and Environment (however remit is not limited to these)
- Reviewing how policies, services and decisions support a positive and sustainable environment, accessibility to services for all and effective management of natural resources; how they are implemented and how performance is evaluated and improved.
- Includes: Reviewing how policies, services and decisions support a positive and sustainable environment, accessibility to services for all and effective management of natural resources; how they are implemented and how performance is evaluated and improved. Passenger transport; transport policy; road infrastructure; access; protection of the environment; flood and coastal erosion risk management; economic development; sustainable development; climate change; land management; waste management; relevant financial management.



Holding the Executive and the Council to Account

- Scrutinising decisions which the Executive is due to consider (Pre-scrutiny)
- Scrutinising Executive decisions that have been taken before they are implemented (Call-in)
- Scrutinising Executive decisions after they have been implemented, whether shortly afterwards or as part of a wider review of policy to measure their effect



Scrutiny of Decisions

- Issues to consider when scrutinising decisions:
 - Are decisions evidence based?
 - Has appropriate consultation been undertaken?
 - Do decisions reflect the County Council's priorities?
 - Have alternative options been considered?



Call-in

- Only applies where a decision has been made but not yet implemented
- Can only be done within 5 working days of the date members have been notified of the decision
- A quorum of members can call a meeting of a select committee to consider an executive decision. The Committee must meet within 14 days of the of meeting being called



Call in options

The Committee must decide whether or not to recommend:

- That the decision be reconsidered by the relevant decision maker
- Where the decision is not in line with the Policy or Budget Framework, that the County Council should take that decision



Effect of call-in

- Any decision which is not in line with the Policy or Budget Framework cannot be implemented until the call in has been disposed of
- Urgent Key Decisions cannot be called in although they can still be scrutinised by the committee



Policy Development and Review

- Key work stream is to review and make recommendations either to the Executive or to the Full Council to assist in the development of future policies and strategies
 - Contribute to development of new or improved council policies and strategies
 - Proactive review where there may be gaps / a change in the law
- Select Committees also have a role in reviewing existing policy, to consider:
 - Do we have a clear and up to date approach?
 - Is it the right approach?
 - Are policies coherent and joined up?
 - Are the policies effective when put into action is it working? Are they delivering what was intended?



Working Groups

- Group of Members identified to meet outside of the formal committee meetings
- Focuses on a topic where SC feels there is benefit to review
- Aims and timescale scoped, meetings held with relevant officers to discuss, evidence can be sought, culminates in summary of findings and recommendations where relevant



Items for the Agenda

- Any member of the Committee can request to put an item on the agenda of the next convenient meeting of the committee
- Any member of the County Council can refer a matter to a Select Committee provided it is relevant to the function of that committee
- The Executive or the County Council may request that a Committee considers a matter referred by them



Topic Suggestions

- Suggestions welcome at any time to the Chairman or Democratic Support
- Make clear the reason for the request is there evidence this is an area of concern? (e.g. performance data, frequently raised by constituents) is it a County wide rather than local issue?
- Member Briefing Programme takes place monthly

 for information items



Agenda Formation

- Rolling Work Programme
- Agenda Planning Meetings

Attendance at meetings – it is the duty of officers and the Executive Members to attend meetings of the Select Committee if required (others can be invited but aren't required to attend)



What will success look like?

- Scrutiny function is Member led
- Work programme is realistic, flexible and focused
- Topics are prioritised appropriately
- Reviews well scoped and project managed to ensure they are timely and improvement focused
- Time and resources directed where it can add most value
- Constructive and evidence based



Conclusion

- Role of scrutiny is wider than simply holding the Executive to account
- There needs to be an effective dialogue between scrutiny committees, the Executive and key officers to ensure that the work of committees contributes to policy setting and decision making

